

ADDITIONAL INVESTMENT FORM

Contact Details

P. 02 8668 4877
E. admin@framefunds.com.au
I. www.framefunds.com.au

Suite 25, Level 6
58 Pitt Street
Sydney, NSW 2000
Australia

Frame Futures Fund

Please use this form if you are an existing investor in the Frame Futures Fund and wish to make an additional investment.

1. Please ensure that any person seeking to make an investment should review the Information Memorandum and seek legal, financial and taxation advice.
2. Please complete all sections in block capitals and using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

Please double check that you have completed the following:

- entered your account number and account name (section 1.)
- entered the amount you are wanting to invest in Australian dollars (section 2.)
- signed the form (section 5.)

3. Send your documents to our Trustee, Primary Securities Ltd.

You can return your form by post, fax or email according to the details below.

Send by Post: Primary Securities Ltd
PO Box 732
Fremantle, WA 6959

Fax forms to: +61 8 9430 5552

Scan and email to: registry@primarysecurities.com.au

Please include your account number in the subject line of your email.

4. Transfer the amount you are wanting to invest to the Frame Futures Fund.

1. Investor Details

Account Number:

Account Name:

2. Investment Details

Please specify your additional investment amount tick the box besides your chosen payment method.

Amount:

(Minimum additional application amount is \$25,000)

3. Payment Method

Please select your payment method below. All payments are to be made in Australian dollars.

Electronic funds transfer payable to:

Bank's Name: Westpac Banking Corporation
BSB: 036 048
Account No.: 436 684
Account Name: Primary Securities Ltd ATF Frame Futures Fund Application Account
Reference: Investor surname / company or trust name

Cheque

Made payable to Primary Securities Ltd ATF Frame Futures Fund Application Account

Declaration and signatures

The Applicant agrees and acknowledges and declares that:

- (a) The Applicant will be bound by the Trust Deed of the Fund, as amended from time to time.
- (b) The Applicant agrees to be bound by the Privacy Act 1988 (Cth) and the Spam Act 2003 (Cth) notices and consents contained in the Information Memorandum.
- (c) The Applicant has received and read a copy of the Information Memorandum for the Fund dated 18 April 2018 and agrees to be bound by the terms of the Information Memorandum.
- (d) The Applicant agrees to the collection, use and disclosure of their personal information as set out in the Information Memorandum. The Trustee, FFM and the Administrator (the "Entities") are required to obtain certain information to enable compliance with AML requirements and the Applicant undertakes to provide such additional information or documentation as requested of it from time to time to ensure the Entities' compliance with any laws relating to anti-money laundering and counter terrorism financing ('AML/CTF') or any other law, including the Foreign Account Tax Compliance Act ('FATCA') and OECD Common Reporting Standard ('CRS'). The Entities may also be required to report this information to regulatory or law enforcement agencies, including AUSTRAC.
- (e) Should the Applicant fail to provide any information or documentation requested of it, its application may be refused.
- (f) The Applicant understands the risks associated with an application and holding Units in the Fund including the risks as they are outlined in the Information Memorandum.
- (g) The Trustee reserves the right to reject any application or to allocate to any Applicant a lesser number or total issue price of Units than that applied for.
- (h) The Applicant is not aware of any liquidation or bankruptcy proceedings that have been commenced or are intended to be commenced by any person against it or which are intended or anticipated by it.
- (i) None of the Trustee, FFM or any of their related bodies corporate, principals, officers, employees or agents makes any recommendation as to the suitability of the Fund or any application for Units by the Applicant and the Information Memorandum does not contain personal investment or taxation advice. The Applicant has to the extent that it considers necessary sought its own financial, investment and taxation advice before applying to invest in the Fund and make an application.
- (j) Applications and investment in the Fund are not deposits with or other liabilities of the Trustee, FFM or any other person and are subject to investment risk, including possible loss of income and capital invested. None of the Trustee, FFM or any other person guarantees any particular rate of return or the performance of the Fund, nor do they guarantee the repayment of capital from the Fund.
- (k) All information provided as part of this application is true and correct.
- (l) Where this Application Form is sent by fax, the Applicant must also send the original signed Application Form to the address specified above. None of the Trustee, Administrator, FFM or any of their duly appointed agents will be responsible to an Applicant for any loss resulting from the non-receipt or illegibility of any application sent by fax or for any loss caused in respect of any action taken as a consequence of such fax believed in good faith to have originated from properly authorised persons.
- (m) Information supplied on this Application Form and otherwise in connection with the application of the Applicant may be held by the Trustee and the Administrator and will be used for the purposes of processing the application and completion of information on the register of investors, and may also be used for the purpose of carrying out instructions or responding to any enquiry purporting to be given by the Applicant or on behalf of the Applicant, dealing in any other matters relating to the holding of the Applicant including the mailing of reports or notices, forming part of the records of the recipient as to the business carried on by it, observing any legal, governmental or regulatory requirements of any relevant jurisdiction (including any disclosure or notification requirements to which any recipient of the data is subject). All such information may be retained after the termination of the Fund or the transfer of the holding of the Applicant.
- (n) The Trustee and the Administrator may, subject to the requirements of applicable law relating to personal information, disclose and transfer such information to the auditors and FFM including any of their employees, officers, directors and agents and/or their affiliates or to any third party employed to provide administrative, computer or other services or facilities to any person to whom data is provided or may be transferred as aforesaid and/or to any regulatory authority entitled thereto by law or regulation (whether statutory or not) in connection with investment in the Fund by the Applicant, which persons may be persons outside Australia including, the United States of America, the Republic of Ireland and the Cayman Islands.
- (o) The Applicant consents to the recording of telephone conversations between the Trustee, or the Administrator and the Applicant; and the Applicant acknowledges that any such tape recordings may be submitted in evidence in any proceedings relating to the administration of the Fund and or the investment of the Applicant.
- (p) The Applicant confirms that the investment is for its own account (for individuals/companies not acting as nominee).
- (q) All Unitholders have the right of access to, and to update, all their records (whether held on computer files or manually) held by the Trustee and the Administrator. A copy of such record will be provided to a Unitholder who requests it, upon the payment of a modest administration charge to cover the costs of complying with such request. Requests should be made in writing to the Administrator.

3. Signatures & Signing Instructions

By completing and signing this form, you:

- Authorise us to act according with the instructions on this form
- Acknowledge that the instructions on this form supersede and have priority over, all previous instructions received by us, and
- Agree to indemnify us from and against all losses, costs, expenses, claims, actions or proceedings brought against us in connection with following your instructions on this form.

Investor Type	Who Should Sign
Individual	Where the investment is in one name, the investor must sign
Joint investors	Where the investment is in more than one name, all investors must sign
Company	Two directors or a director and a company secretary must sign, unless you are a sole director and sole company secretary
Trust	Each trustee must sign or, if a corporate trustee, then as for a company
Partnership	Each partner
Association or registered co-operative	Each office bearer
Government body	Relevant principal officer/authorised signatory
Power of Attorney	If signing under a Power of Attorney, please attach the identification documents listed in Section K.6. of the Application Form.

SIGNATURE 1

Signature:

Name:

Date: / /

Title: Investor 1 (individual) Director Secretary Sole director & secretary
 Non-corporate trustee Partner

Company seal (if applicable)

SIGNATURE 2

Signature:

Name:

Date: / /

Title: Investor 1 (individual) Director Secretary Sole director & secretary
 Non-corporate trustee Partner
 Other office bearer or attorney (please specify)

If there are more than two trustees, partners or office bearers, please provide their signature and details on a separate sheet.

